

Johnson & Wales University (JWU) employees and, as may be required by agreement or policy, agents, consultants, representatives, and other affiliated individuals will strive to safeguard the university's financial, legal, and reputational standing and their own credibility and reputations, by promoting objectivity while engaged in research activities.

JWU's Procedures for Identifying and Addressing Financial Conflict of Interest in Research (the "Procedures"), which are based on federal regulations published at 42 CFR Part 50. Subpart F, are intended to honor that commitment through the management of financial conflicts of interest (FCOIs) that may arise during research activities supported by federal agencies including, without limitation, the National Science Foundation (NSF) and the U. S. Department of Health & Human Services (HHS), which includes the Public Health Services' Centers for Disease Control and Prevention (CDC), the Food and Drug Administration (FDA), the Health Resources and Services Administration (HRSA), the National Institutes of Health (NIH), and the Substance Abuse and Mental Health Services Administration (SAMHSA).

Many private foundations also require their grantees to abide by the regulations cited above. Unless otherwise directed by law or university policy, individuals employed by or affiliated with JWU who intend on seeking funding from, or who are engaged in research funded by, such foundations will also be required to follow the Procedures.

Financial Conflict of Interest in Research Procedures

Terms and Authority

With the exception of terms defined in the second capitalized terms are defined in the second elsewhere in the ublic Health Services (PHS) FCOI regulations

If <u>Researchis</u> supported by a non-PHS agency or organization its own requirements governing disclosure, management, and reporting of financial conflicts of iester and if those requirements are not specifically addressed in these Procedures, then the more restrict quirements must be followed unless otherwise required by law, university policy, or specific award provisions.

Investigator Responsibilities

Learning/Training

- 1. Prior to seeking or engaging in any federally sponsemental sponsement of the seeking or engaging in any federally sponsemental spons
- 2. Prior to seeking or engaging any federally sponsored esearch every Investigator must successfully complete the following courses [or other federally diamp courses approved by the Institutional Official, whose responsibilities are described 42t C.F.R. §50.604 [d] through the Collaborative Institutional Training Initiative (CITI):
 - a. Financial Conflicts of Interest: Overview, Istigator Responsibilities, and COI Rules (ID 15070)

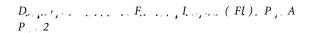
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fulfill its reporting obligations to the federal sponsor prior to the expenditure of any federal funds and within 60 days of any subsequently identified FCOI.

		Department	
Role in the project	Principal Investigator	Project Directo	or Other Senior/Key Personnel
Employer (if not JWU)			
Project information:			
Title			
Beginning date	Click or tap to enter a date.	Ending date	Click or tap to enter a date.
Sponsor (if any)			
Type of Disclosure:	Choose an item.		

Most recent date



Travel

• Have you, your spouse/domestic partner or your dependent child received any travel reimbursement or been sponsored for travel (i.e., travel expenses paid and not reimbursed so that

Disclosure of Significant Financial Interests

Please complete this page for each entity in which you have a Significant Financial Interest ("SFI"). Use a different page for each person (i.e., you, your spouse/domestic partner, or dependent child) who has an SFI. For further guidance, please see JWU's Financial Conflict of Interest Policy and 42 C.F.R. Part 50, Subpart F.

Investigator information:			
Name	Campus		
E-mail address	College		
Preferred phone #	Department		
Information of person with SFI (if different from above	ve):		
Name	Campus		
Email address	College		
Preferred phone #	Department		
Address Website			
Form of compensation			
Salary	Fees (e.g., honoraria, consulting, authorship, etc.)		
Stock, stock option, or other ownership interest			
Other:vvvvs4	<u>4469 BDC -0.022 Tc 0.004 Tw 101960 0 10.5 103.625</u> 4.46 Tm { D}- 4 .10		
Approximate value of compensation			
Description of compensation			
Description of relationship to the entity			
and/or work performed for it			